**Bird migration connects regions but do not rise local prevalence and richness of avian haemosporidian parasites**

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1.Introduction

Migration has an important impact on the transmission of disease around the world as migrant species can disperse pathogens between locations and have a higher rate of exposure toward infectious agents (Bauer and Hoye 2014). In this way, migrant species might play an important role on the evolution and distribution of parasites and promote the spread of pathogens to new areas and new hosts species. Besides that, human introduced pathogens and host species can decrease the fitness and survival of resident and native species, compromising the population abundance of local species and reducing community richness (Callaway and Ridenour 2004, Prenter et al. 2004). Conversely, the spread of pathogens might increase host richness by reducing competition pressures and, therefore, avoiding competitive exclusion. Hence, pathogen spread might act as an environmental filter to new species colonization. Several studies have documented the influence of migratory birds on the spread of important pathogens with some of these able to infect humans (Alekseev et al. 2001, Morshed et al. 2005, Poupon et al. 2006, Hellgren et al. 2007, Lindeborg et al. 2012, Ricklefs et al. 2017). Thus, the migratory behavior of birds may influence directly host local richness and population size.

Recently, it was suggested that avian malaria parasites and related haemosporidians, could be used as geographical markers for migratory birds suggesting that differences in haemosporidian lineages could indicate whether birds had become infected in different areas (Marzal 2012). Because migratory birds connect distinct geographic regions they might influence local pathogen transmission. Since most haemosporidians cause life-long infections, parasites may travel across long distances with their bird hosts during migration. This would therefore allow them to infect new vectors and new avian hosts in novel environments (Fecchio et al. 2020). Indeed, migratory species are known for their potential to connect distant habitats and transfer large amounts of biomass and nutrients between ecosystems (Altizer et al. 2011). Furthermore, O’Connor et al. (2020) have demonstrated that migratory birds do not possess higher immune gene richness in wetter areas, usually associated with higher risk of infection by malarial parasites (add reference). Therefore, it could make them more susceptible to pathogens in those regions. For this reason, it might also be expected that migratory birds harbor a more diverse range of parasites and might be more susceptible to parasite infections.

South America comprises different types of biomes, such as Amazonia, Brazilian Savanna, Atlantic Rain Forest and Pantanal, which hold a great richness of native resident and migratory bird species, thus making it an ideal system to investigate such questions. Previous research has documented the prevalence of avian malaria in different regions of Brazil, and markedly different prevalence for *Plasmodium* spp have been reported between these regions (Braga et al. 2011). Indeed, the most prevalent avian haemosporidian parasite genus in this region is *Plasmodium* (Braga et al. 2011), however, recently research conducted in Northeast Brazil reported higher prevalence of *Haemoproteus* parasites in this region (unpublished data). *Plasmodium* parasites present higher host-shifting rates than other haemosporidian (Hellgren et al. 2007), which could certainly contribute to their increased dissemination by migratory birds into new areas. Indeed, host-shifting of a *Plasmodium* species from domestic chicken to wild and native birds has already been reported in Brazil (Ferreira-Junior et al. 2018).

Furthermore, the great avian richness (~3500 species) and abundance in South America (Lepage et al. 2014) could also enhance the probability of parasite host-shifting between migratory and resident birds, given the likely presence of susceptible birds in any particular area. Besides that, the great richness and abundance of vectors could also increase the chances of host-shifting between migratory and resident birds as it increases the chances of compatible vectors being present. Thus, these features make the South American avian haemosporidians a great model to investigate the putative transmission of pathogens via host migration in nature.

In this context, the main goal of this study is to evaluate the influence of migratory birds on the spread of haemosporidian parasites in South America. Specifically, we hypothesize that (1) migratory birds spread parasite lineages along their migratory routes, and (2) localities crossed by more migratory routes have greater prevalence and richness of haemosporidian lineages. For the first hypothesis, we tested whether parasite lineages found (i) only in migratory birds, (ii) in both migrants and residents, and (ii) only in residents, differ in their frequency of occurrence among localities. Due to the fact migrants can carry parasites from many sites and infect resident birds, we predicted that parasite lineages using migratory birds will occur in a greater percentage of localities than those using only resident birds. Moreover, migration behavior increases the exposure of birds to more parasites lineages and hence their contact to different parasites, we expected higher haemosporidian richness and prevalence in regions with more migratory birds. For the second hypothesis, we tested for a relationship among localities between the overall local haemosporidian parasite richness and prevalence, and the proportion of migratory birds passing through a locality.

2. Methods

2.1 Dataset

All the analyses were performed using the MalAvi database (<http://130.235.244.92/Malavi/>) from South American regions and another dataset containing ~13200 bird blood samples from 916 species from 63 different locations sampled from 2005 to 2018 in South America (Figure 1, Supplementary material). Combining both datasets, we obtained a total of ~2800 parasites lineages (*Plasmodium*, *Haemoproteus* and *Leucocytozoon*), representing 506 host species and 156 localities. All biomes were classified as in Turchetto-Zolet et al., 2013. The parasite prevalence was estimated using PCR diagnostic protocols described by Hellgren et al. 2004 and Fallon et al. 2003. The parasite lineages were identified by the PCR protocol described by Hellgren et al. 2004. This protocol produces a *cyt b* fragment of 478 bp. The birds present in each locality were classified into three ecological classes: (1) resident; (2) partial migrant and (3) full migrant, according to the Brazilian Committee of Ornithology Records - CRBO 2014, Somenzari et al. 2018 and BirdLife International (<https://www.birdlife.org/>).

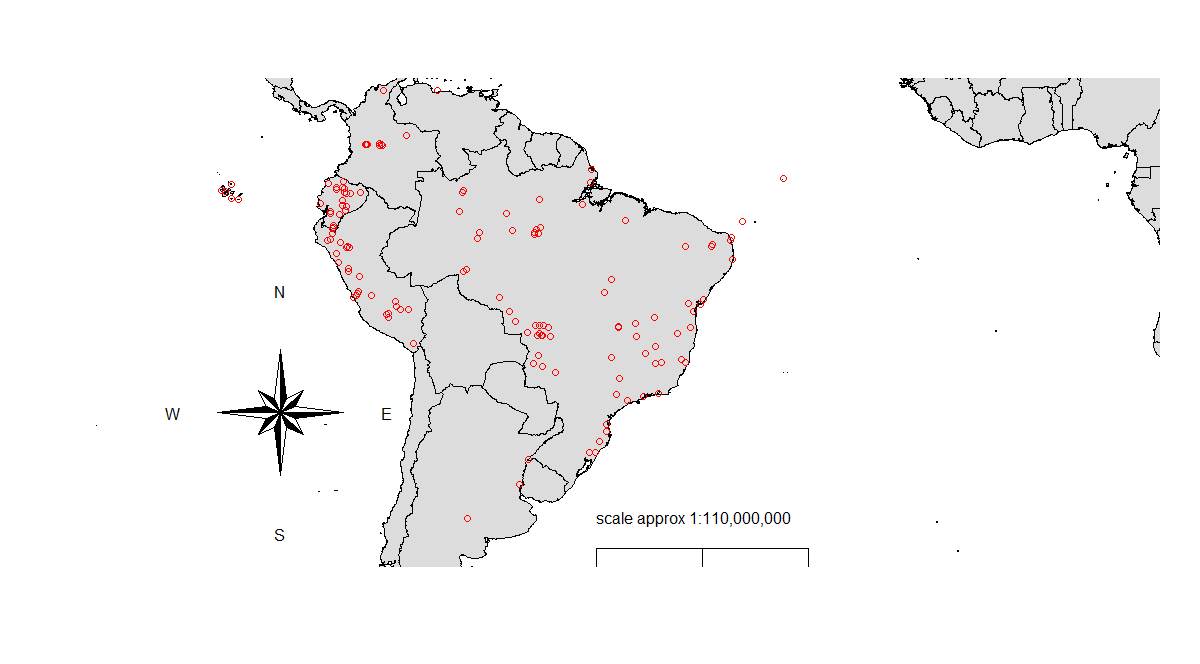


Figure 1: Bird collection points. Collection points comprises a total of 156 areas combining our dataset and MalAvi database.

2.2 Potential correlates of prevalence and richness

*Spatial and temporal correlation*

All analyses were conducted in R (R Core Team, 2019). We determined whether there was significant spatial autocorrelation for prevalence and parasite richness in our dataset by calculating the Moran Index value. In order to estimate this index, we combined the coordinates data into a matrix and employed the function “Moran.I” from the “Ape” package. Temporal correlation analyses were performed using linear models. For parasite prevalence, we conducted a mixed linear model using package “lme4” and the function “lmer”. Firstly, we grouped the data by year and location employing the “group\_by” function from the “dplyr” package. Then, we compared the prevalence among years of collection considering number of birds collected and location as variables. For parasite richness, we performed a simple linear model using the “lm” function.

*Phylogenetic Signal*

In order to estimate the phylogenetic signal among prevalence and richness estimates for the bird species in our dataset, we downloaded 1000 phylogenetic tree of bird using the backbone tree form Hackett et al. (2008)from <https://birdtree.org/> website. Using the “treeman” package, we created a treeman file containing all trees from the original file. Then, we randomly selected 100 trees to account for phylogenetic uncertainty. This new file was converted from treeman to a phylo file, from which we extracted one single random tree. Using “dplyr” package, we grouped our data per species and eliminated all bird species from the phylo tree which were not present in our dataset. Using the “match” function from the “picante” package, we matched the species between the tree and our dataset. Then, we calculated Pagel’s lambda (λ) to evaluate the phylogenetic signal among bird species in our dataset, for both haemosporidian prevalence and species richness, which values can range between 0 (no phylogenetic signal) and 1 (strong phylogenetic signal). In order to estimate lambda (λ), we applied the “phylosig” function from the “phytools” package.

2.3 Statistical Analyses

*Bayesian model*

In order to determine whether migratory birds spread parasite lineages along their migratory routes and to evaluate the parasite connectivity among localities due to migratory behavior, we used multi-level modeling (MLM) (“brms” package; Bückner 2017) to evaluate the percentage of localities in which haemosporidian lineages occurred depending on migrantion patterns of birdsFirstly, using the “ape” package, we computed the phylogenetic residuals from our bird species and incorporated this to control for phylogenetic effects in our Bayesian model. Secondly, we applied the “get\_priors” function to fit the priors for our model. We considered as population-level effect bird migratory categories and percentage of localities each lineage was present. We also used as fixed variables the number of birds per site and host richness. As our Moran Index value for spatial autocorrelation of parasite richness among localities was low (-0.0008), we did not consider locality as a variable in our model and also did not use model correction for locality coordinates. As we were dealing with proportion data, we ran the model applying the “Beta” family, with 4 chains of 4000 each (2000 for warmup, 2000 for sampling). We used the “conditional\_effects” function (package: brms)\_to visualize the predictions of the population-level effects. We ran models for all three parasite genera and for *Plasmodium* and *Haemoproteus* separately.

*Mixed models*

Two mixed models were performed to estimate whether localities with more migratory birds have greater prevalence and richness of haemosporidian lineages. With this objective, we employed the “lmer” function from “lme4” package in R. In the first model, we considered parasite richness as dependent variable and percentage of migratory birds individuals as independent variable. Host richness, prevalence, percentage of migratory species and number of migrants were considered fixed variables. Further, number of individual birds tested for infection per site, biome, mean precipitation and temperature were settled as a random variables.

In the second model, we analyzed the prevalence of infection in each bird species between localities using biome and locality as random variables. For this we considered local prevalence in each bird species as our dependent variable and local percentage of migratory birds as our independent variable. In this model, we filtered our data in order to include only species with 10 or more bird individuals analysed. For this second model we used only our dataset described above as MalAvi database presents only positive and sequenced samples. We ran models for all three parasite genera and for *Plasmodium* and *Haemoproteus* separately.

3. Results

The spatial and temporal autocorrelation analyses revealed there is no substantial effect of time or space on parasite richness, however, for prevalence, we observed a Moran Index effect of 0.15, and for this reason, locality was used as a random effect in our second mixed model. Likewise, considerable phylogenetic signals were observed among bird species for prevalence (0.49) and parasite richness (0.17). Considering this, phylogenetic covariation was added in Bayesian analyses and species were used as factors in the second mixed model.

Our analyses demonstrate that bird migratory behavior increases the distribution of haemosporidian lineages but does not increase local richness and prevalence of these parasites in avian hosts. Our Bayesian model analyses revealed the lineages shared by resident and migratory species are the most widespread spatially, as they are found in a higher percentage of locations (Figure 2, Table 1). We also demonstrate that the lineages shared by all three categories (resident, partial migrant and full migrant) are the most widespread, followed by those shared between residents and either type of migratory species. Nevertheless, despite the fact lineages shared by migratory species and residents are more widely distributed, lineages present in only residents, migratory or partially migratory species show similar spatial distribution in our model. Lineages present in all bird categories were present in 4.5% (SE = ±0.5%) of localities, meanwhile lineages present in resident and full migratory or partial migratory were observed in 2.3% (SE = ±0.2%) and 2% (SE = ±0.2%) of localities. In addition, linages observed in full migratory, partial migratory or resident were found in 1% (SE = ±0.03%), 0.9% (SE = ±0.01%) and 1% (SE = ±0.01%) of localities respectively.

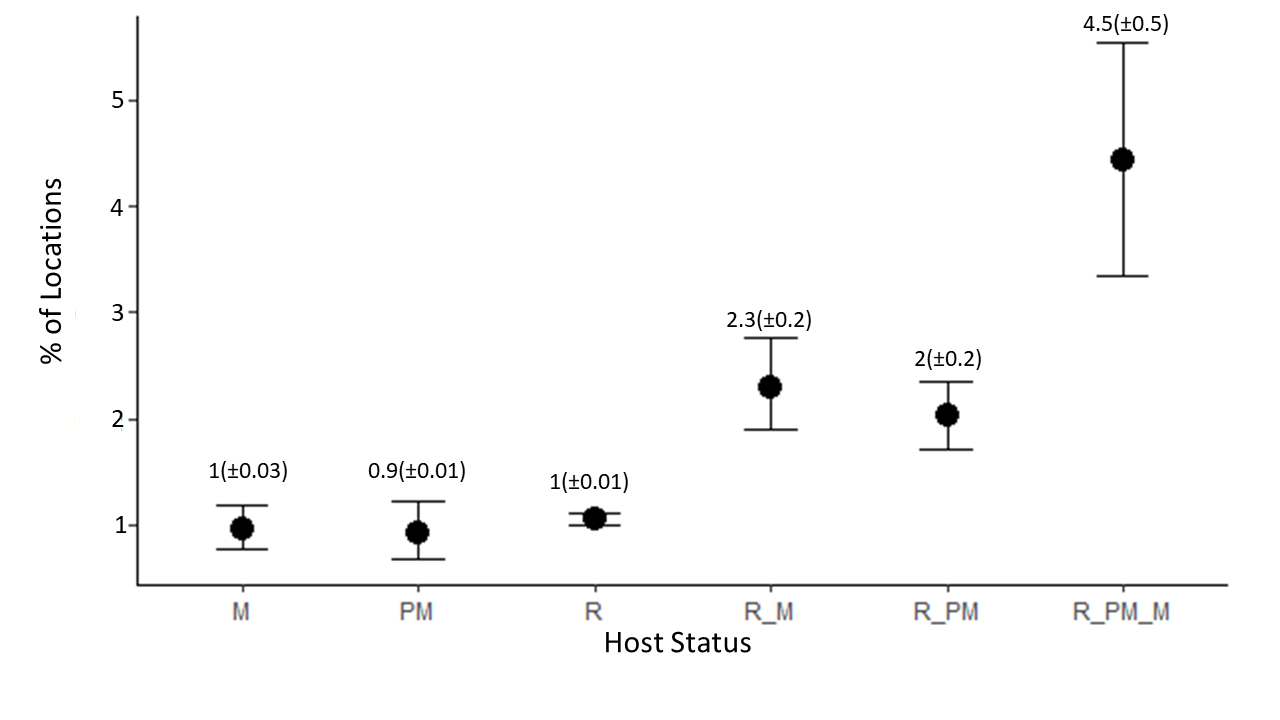
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Figure 2: Conditional effect plot. Model demonstrates lineages shared by migratory species and resident are more spread. M = full migratory, PM = partial migratory, R = resident, R\_M = resident and full migratory, R\_PM = resident and partial migratory and R\_PM\_M = resident, partial migratory and full migratory.

When we considered the analyses per parasite genera, we observed differences in the pattern of spread between *Plasmodium* and *Haemoproteus*. For *Plasmodium* parasites we observed a much higher dispersal of lineages shared by all three host categories, followed by the lineages shared by migrant or partial migrant and residents with similar values (Figure 3, Table 2). *Plasmodium* spp. lineages present in the three bird categories were present in 12.6% (SE = ±1.2%) of localities, meanwhile lineages present in resident and full migratory or partial migratory were observed in 2.3% (SE = ±0.35%) and 2.3% (SE = ±0.25%) of localities. We also observed that linages present only in full migratory, partial migratory or resident birds were present only in 0.8% (SE = ±0.02%), 0.9% (SE = ±0.02%) and 1% (SE = ±0.005%) of localities respectively.

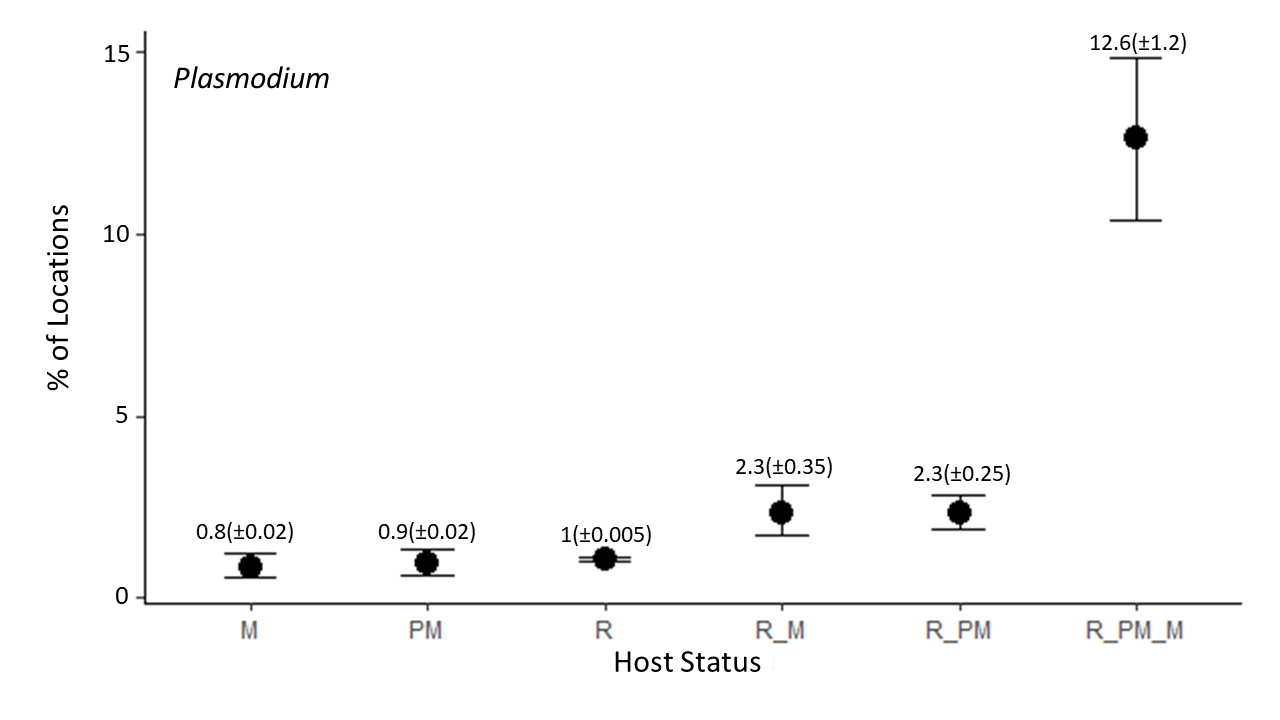


Figure 3: Bayesian model plot for *Plasmodium* spp.. Model demonstrates lineages shared by migratory species and resident are more spread. M = full migratory, PM = partial migratory, R = resident, R\_M = resident and full migratory, R\_PM = resident and partial migratory and R\_PM\_M = resident, partial migratory and full migratory.

However, for *Haemoproteus* lineages, we observed greater dispersal rates of lineages shared only by migrant or partial migrant and resident birds. The lineages shared by all three bird categories and for those present in only one bird category had similar values (Figure 4, Table 3). Lineages present in resident and full migratory or partial migratory were observed in 2.6% (SE = ±0.3%) and 1.7% (SE = ±0.2%) of localities. In addition, linages observed in full migratory, partial migratory and resident birds were found in 0.9% (SE = ±0.01%), 0.8% (SE = ±0.02%) and 1% (SE = ±0.001%) of localities respectively. Further, lineages observed in all bird categories were found in 1% (SE = ±0.3%).

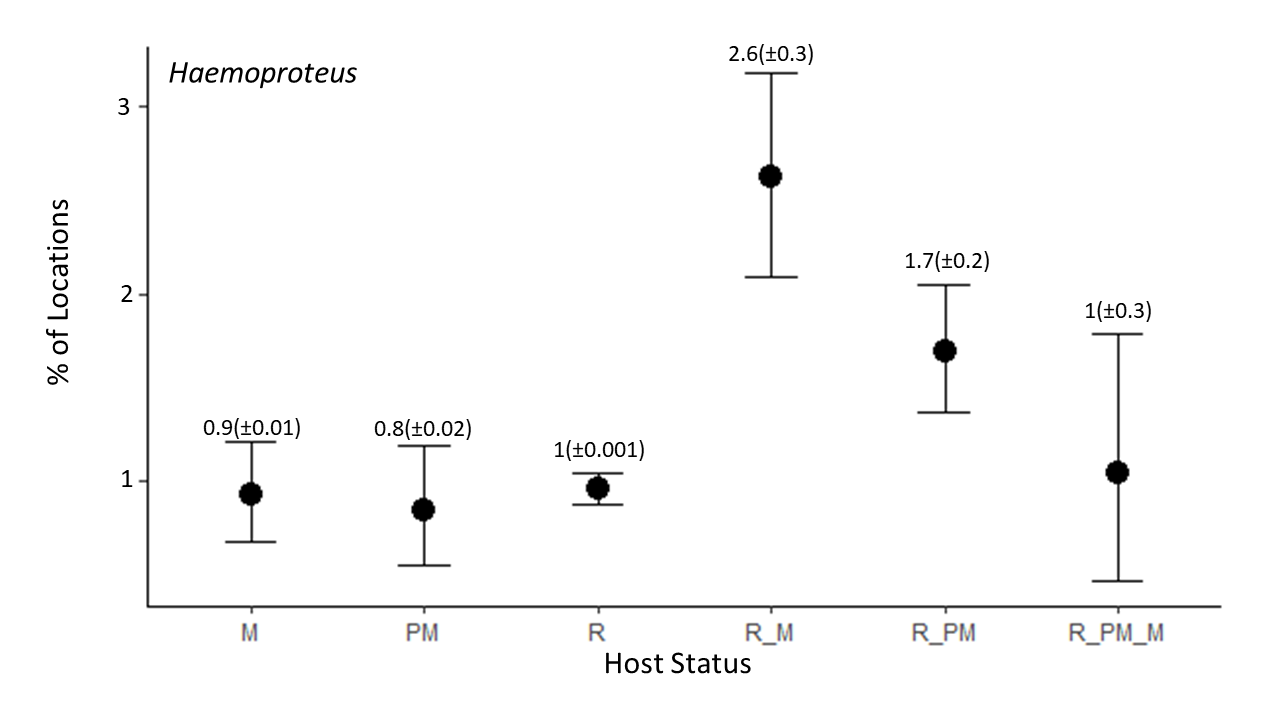


Figure 4: Bayesian model plot for *Haemoproteus* spp.. Model demonstrates lineages shared by one category of migratory species and resident are more spread. M = full migratory, PM = partial migratory, R = resident, R\_M = resident and full migratory, R\_PM = resident and partial migratory and R\_PM\_M = resident, partial migratory and full migratory.

Our first mixed model revealed that there is no correlation between the percentage/presence of migratory bird and parasite richness (p = 0.19, Figure 3, Table 4). We also observed no effect of migratory bird percentage in parasite richness when *Plasmodium* and *Haemoproteus* infections were evaluated separately (p = 0.55, p = 0.94, see Supplementary Material).

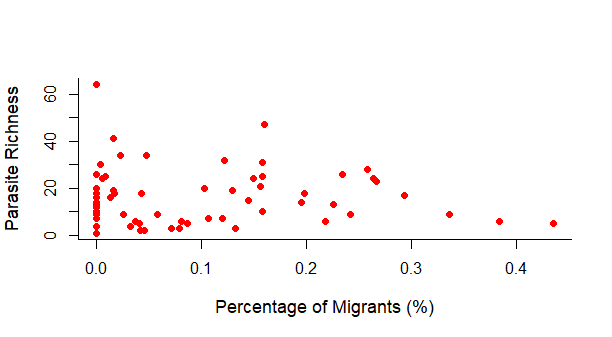


Figure 3: Local richness of haemosporidian parasites as a function of the percentage of migratory species out of all locally occurring bird species. Each point represents a different locality. No correlation was found between percentage of migratory individuals and haemosporidian richness (p = 0.19)

For the second model, which we analysed the relation between migratory prevalence per species, we observed a negative correlation between migratory behavior and prevalence of haemosporidian parasites per species (p=0.04, Figure 5, Table 5). However, when we evaluated *Plasmodium* and *Haemoproteus* separately, no effect between migratory behavior percentage and prevalence per host specie was detected (p = 0.08, p = 0.34, see Supplementary Material).

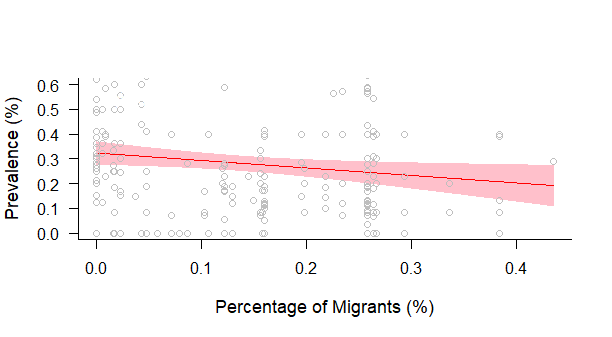


Figure 4: Correlation between prevalence of haemosporidian parasites and percentage of migratory host individuals. Each point represent the prevalence value per specie in each site. We observed a negative effect between migratory behavior and parasite prevalence (p = 0.04).

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Table 1: Parameter estimates, standard errors, and p values for the Bayesian model testing the differences of haemosporidian lineages dispersal between migratory and resident avian host species.

|  |  |  |  |
| --- | --- | --- | --- |
|  | **Estimate** | **Std. error** | **p** |
| Full migrant | -4.64 | 0.11 | 0.0 |
| Partial migrant | -0.04 | 0.18 | 0.81 |
| Resident | 0.09 | 0.11 | 0.42 |
| Resident and full migrant | 0.88 | 0.15 | 0.0 |
| Resident and partial migrant | 0.76 | 0.14 | 0.0 |
| Resident, partial and full migrant | 1.56 | 0.17 | 0.0 |

Table 2: Parameter estimates, standard errors, and p values for the Bayesian model testing the differences of *Plasmodium* spp. lineages dispersal between migratory and resident avian host species.

|  |  |  |  |
| --- | --- | --- | --- |
|  | **Estimate** | **Std. error** | **p** |
| Full migrant | -4.77 | 0.20 | 0.0 |
| Partial migrant | 0.08 | 0.28 | 0.76 |
| Resident | 0.24 | 0.20 | 0.24 |
| Resident and full migrant | 1.03 | 0.25 | 0.0 |
| Resident and partial migrant | 1.02 | 0.23 | 0.0 |
| Resident, partial and full migrant | 2.83 | 0.23 | 0.0 |

Table 3: Parameter estimates, standard errors, and p values for the Bayesian model testing the differences of *Haemoproteus* spp. lineages dispersal between migratory and resident avian host species.

|  |  |  |  |
| --- | --- | --- | --- |
|  | **Estimate** | **Std. error** | **p** |
| Full migrant | -4.68 | 0.15 | 0.0 |
| Partial migrant | -0.10 | 0.24 | 0.70 |
| Resident | 0.04 | 0.16 | 0.86 |
| Resident and full migrant | 1.07 | 0.19 | 0.0 |
| Resident and partial migrant | 0.61 | 0.18 | 0.0 |
| Resident, partial and full migrant | 0.09 | 0.37 | 0.75 |

Table 4: Parameter estimates, standard errors, and p values for the mixed model testing the differences of haemosporidian richness as a function of the percentage of migratory species out of all locally occurring bird species.

|  |  |  |  |
| --- | --- | --- | --- |
|  | **Estimate** | **Std. error** | **p** |
| Percentage of migrant individuals | -33.05 | 24.90 | 0.18 |
| Host richness | 0.3 | 0.16 | >0.001 |
| Prevalence | 0.44 | 0.07 | >0.001 |
| Percentage of migrant species | 0.09 | 0.30 | 0.77 |
| Number of migrants | 0.08 | 0.26 | 0.0013 |

Table 5: Parameter estimates, standard errors, and p values for the mixed model testing the differences of haemosporidian prevalence per specie as a function of the percentage of migratory species out of all locally occurring bird species.

|  |  |  |  |
| --- | --- | --- | --- |
|  | **Estimate** | **Std. error** | **p** |
| Percentage of migrant individuals | -1.36 | 0.05 | 0.04 |
| Host richness | >0.001 | >0.001 | 0.69 |
| Parasite richness | -0.001 | 0.001 | 0.40 |
| Percentage of migrant species | 0.009 | 0.007 | 0.21 |
| Number of migrants | -0.001 | 0.001 | 0.27 |